**JCWSCS** 1 8 JUN 2004

+5038859880

T-325 P.001

F-228

FROM-DIGIMARC JUN-17-2004 15:12

FILE COPY



19801 SW 72nd Ave., Ste. 100 Tualatin, OR 97062 USA T. +1 503.885.9699 F. +1 503.885.9880 www.digimarc.com

FACSIMILE TRANSMITTAL

| DATE:                    | June 17, 2004   |                |                     |   |  |  |
|--------------------------|---|----------------|---------------------|---|--|--|
| TO:                      | Office of Initial Parent Examination's  Customer Service Center |                | RE:                 | Appn. 10/723,731; Filed November 26, 20 |  |  |
|                          |   |                | PAGES:              | 8                                       |  |  |
| FAX NUMBER: 703-746-9195 |   |                | FROM: Stove Stewart |   |  |  |
| TEL NUMI                 | TEL NUMBER:   |                |                     |   |  |  |
|                          |   |                | <u></u>             |   |  |  |
| ✓ Uogent                 | ☐ For Review  | ☐ Pteass Reply | y<br><u></u>        |   |  |  |
|                          |   |                |                     |   |  |  |
|                          |   |                |                     |   |  |  |
|                          |   |                | ·-                  |   |  |  |
|                          |   |                |                     |   |  |  |
|                          |   |                |                     |   |  |  |
|                          |   |                |                     |   |  |  |
| COMME                    | NTS:  |                |                     |   |  |  |
| COMME                    | NTS:  |                |                     |   |  |  |
| COMME                    | NTS:  |                |                     |   |  |  |
| COMME                    | NTS:  |                |                     |   |  |  |
| COMME                    | NTS:  |                |                     |   |  |  |
| COMME                    | NTS:  |                |                     |   |  |  |
| COMME                    | NTS:  |                |                     |   |  |  |
| COMME                    | NTS:  |                |                     |   |  |  |
| COMME                    | NTS:  |                |                     |   |  |  |
| COMME                    | NTS:  |                |                     |   |  |  |
| COMME                    | NTS:  |                |                     |   |  |  |
| COMME                    | NTS:  |                |                     |   |  |  |
| COMME                    | NTS:  |                |                     |   |  |  |

JRM:dks 6/17/04

PATENT Attorney's Matter No. P0914

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Rhoads et al.

Application No.: 10/723,731

Filed: November 26, 2003

For: METHOD FOR UTILIZING FRAGILE

WATERMARK FOR ENHANCED

SECURITY

Examiner:

Date: June 17, 2004

CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence and any documents referred to as being attached are being facsimile transmitted to the Patent and Trademark Office

to 703-746-9195 on June 17, 2004.

Examining Group: 2625

Steven W. Stewart Attorney for Applicant

### REQUEST FOR CORRECTED FILING RECEIPT

OFFICE OF INITIAL PATENT EXAMINATION'S CUSTOMER SERVICE CENTER COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

Please provide a corrected filing receipt in the following respects:

Under Assignment for Published Patent Application

Delete "Pitney Bowes Inc.;" and insert -- Digimarc Corporation -- .

Under domestic priority data as claimed by applicant,

Line 5, Delete "This application 10/723,731" and insert -- Application 09/433,104--.

Enclosed is a copy of the incorrect filing receipt with the changes noted thereon. Also enclosed is the following supporting documentation: Copy of the Assignment to Digimarc Corporation and Recordation Form Cover Sheet and Application Data Sheet.

Date: June 17, 2004 Respectfully submitted,

DIGIMARC CORPORATION

**Customer Number 23735** 

Phone: 503-885-9699 FAX: 503-885-9880

> Steven W. Stewart Registration No. 45,133



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Parent and Trademark Office
Address COMMISSIONER FOR PATENTS
PO. DOS 1450
Alexandria, Viagrim 12313-1450
www.aspipusgov.

FILING OR 371 APPL NO. FIL FEE REC'D ART UNIT ATTY. DOCKET NO (c) DATE **DRAWINGS** TOT CLMS IND CLMS 10/723,731 11/26/2003 2625 900 P0914

23735 DIGIMARC CORPORATION 19801 SW 72ND AVENUE SUITE 250 TUALATIN, OR 97062

CONFIRMATION NO. 9796 **UPDATED FILING RECEIPT** \*OC000000012940192\*

Date Mailed: 06/14/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Geoffrey B. Rhoads, West Linn, OR; Ammon E. Gustafson, Beaverton, OR;

JUN 1 6 2004

Assignment For Published Patent Application

Pitney Bowes Inc. Digi was Congression

Domestic Priority data as claimed by applicant

This application is a CON of 10/012,703 12/07/2001 PAT 6,744,906 which is a CON of 09/423,104 11/03/1999 PAT 6,626,615 which is a CIP of 09/234,780 01/20/1999 ABN which claims benefit of 60/071,983 01/20/1998 This application 10/723,731 Application 09/433, 104 is a CIP of 09/442,440 11/17/1999 PAT 6,542,618 which is a CON of 08/951,858 10/16/1997 PAT 6,026,193 which is a CON of 08/436,134 05/08/1995 PAT 5,748,763

Foreign Applications

If Required, Foreign Filing License Granted: 02/26/2004

Projected Publication Date: 09/23/2004

Non-Publication Request: No

Early Publication Request: No

Title

Method for utilizing fragile watermark for enhanced security

Preliminary Class

382

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The under 37 CFR 5.13 or 5.14.

This license is to be retained by the licenseé and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

## NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

| SWS:imp 5/26/04 P0914<br>FORM PTO-1595<br>1-31-92   | U.S. DEPARTMENT OF COMMERCE   |  |  |
|---|---|--|--|
| <b>}</b>  | Patent and Trademark office   |  |  |
| PATEN   | <u> </u>  |  |  |
|   |   |  |  |
| To the Honorable Commissioner of Patents and Trademarks. Ple                                      | ease record the attached original documents or copy thereof.  |  |  |
| Name of Conveying Party(ies): Geoffrey B. Rhoads;     Ammon E. Gustafson                          | Name and address of receiving party(ies);   |  |  |
| Additional name(s) of conveying party(ies) attached?☐YES ⊠NO                                      | Name: Digimarc Corporation  |  |  |
| 3. Nature of Conveyance:  | Internal Address: Suite 250   |  |  |
| ⊠ Assignment □ Merger   |   |  |  |
| ☐ Security Agreement ☐ Change of Name   |   |  |  |
| Other   | Street Address: 19801 SW 72 <sup>nd</sup> Avenue  |  |  |
| Rhoads 2/3/04;<br>Execution Date: Gustafson 5/26/04   |   |  |  |
| GUSIAISUII JIZUIVA  | City Tualatin State OR ZIP 97062  |  |  |
|   | Additional name(s) & address(es) attached?  Yes  No   |  |  |
| 4. Application number(s) or patent number(s):   | Vacationis immedia a gameroles promises en 100 en 110   |  |  |
| If this document is being filed together with a new applica                                       | ation, the execution date   |  |  |
| of the application is:  | and one can determine the can be a second to |  |  |
| A. Palent Application No.(s)  | B. Patent No.(s)  |  |  |
| 10/723,731  |   |  |  |
| Additional supplies and all   | , <u>, , , , , , , , , , , , , , , , , , </u>   |  |  |
| Additional numbers atta<br>5. Name and address of party to whom correspondence                    | T   |  |  |
| concerning document should be mailed:   | Total number of applications and patents involved: 1  |  |  |
| Name: Steven W. Stewart   | 7 X Plane share a residetion for as \$40.00   |  |  |
| Digimarc Corporation Internal Address:  | 7. Please charge recordation fee of \$40.00 (37 CFR 3.41) to Deposit Acct. 50-1071.   |  |  |
| Suite 250   |   |  |  |
| Street Address:<br>19801 SW 72nd Avenue   | 8. Any deficiency/overpayment is authorized to be   |  |  |
| City Tualatin State Oregon ZIP 97062  | charged to deposit account 50-1071  |  |  |
| DO NOT USE  | THIS SPACE  |  |  |
| Statement and signature.  |   |  |  |
| To the best of my knowledge and belief, the foregoing info<br>true copy of the original document. | ormation is true and correct and any attached copy is a   |  |  |
| Steven W. Stewart   | <7  |  |  |
| Reg No. 45,133  | May 26, 2004  |  |  |
| Name of Person Signing Sign   | nature Date   |  |  |
| Total number of pages including co  |   |  |  |
| OMB No. 0651-0011 (exp. 4/94)   | _   |  |  |